

**M&F**

ASSET MANAGEMENT LTD

漢豐資產管理有限公司

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服務收費

交易費用

佣金	交易金額之 0.25% (可議) 每宗交易最低 HK\$100
交易徵費	交易金額之 0.0027%
交易所費用	交易金額之 0.005%
中央結算交收費及其他	交易金額之 0.002% (每宗買賣最低 HK\$2, 最高 HK\$100)
政府印花稅	交易金額之 0.13% 每宗交易最低 HK\$1
財匯局交易徵費	交易金額之 0.00015%

實物股票處理收費

存倉費	豁免
存入實貨費	每種股票收費為其總值之 0.05%, 最低收費\$20 (股票價值以上日收市計算) 另加過戶費用每張 HK\$5 註1
提取實貨費	每次收取貨值之 0.1%, 最低收費\$300 (股票價值以上日收市計算) 另加中央結算收費: 每手 HK\$5 註2
見證人服務	每張 HK\$500 (非經本公司提出)

電子交收指示收費

存入交收指示	每種股票收費為其總值之 0.05%, 最低收費 HK\$10 (股票價值以上日收市計算) (可議)
提取交收指示	每種股票收費為其總值之 0.1%, 最低收費 HK\$150 (股票價值以上日收市計算) (可議)
投資者交收指示費	每種股票 HK\$5
即時貨銀對付交收費	每種股票 HK\$100

代理人服務

經中央結算強制補購	每宗 HK\$2,000 另加 a. 強制性代補購交易之金額 b. 中央結算手續費及 c. 利息
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資本投資者入境計劃

周年申報費用	每年 HK\$5,000
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資產管理

管理費	每年 1.5% (可議)
表現費	10% 帳戶淨資產值之百分比 (可議)

Service Charges

Trade-Related Services Charges

Commission	0.25% per trade (negotiable) (min HK\$100)
Transaction levy	0.0027% per trade (to the closest cent)
Trading fee	0.005% per trade (to the closest cent)
CCASS stock settlement fee	0.002% per trade (min HK\$2, max HK\$100)
Stamp duty	0.13% per trade (min HK\$1)
FRC Levy	0.00015% per trade

Physical Scrip Handling

Custodian fee	Waived
Deposit charge	0.05% on value at previous trading day per stock code (min HK\$20) + Transfer stamp duty HK\$5 per deed #1
Withdrawal charge	0.1% of on value at previous trading day per stock (min HK\$300) + Scrip Fee : HK\$5 per log #2
Witness service	HK\$500 per Deed

Settlement Instruction Charges

Settlement Instruction (S.I.) Deposit	0.05% on value of eligible securities at previous trading day closing per stock (min HK\$10) (negotiable)
Settlement Instruction (S.I.) Withdrawal	0.1% on value of eligible securities at previous trading day closing per stock code (min HK\$150) (negotiable)
Investor Settlement Instruction (I.S.I.) Deposit/ Withdrawal	HK\$5 per deed
Real Time Delivery Against Payment	HK\$100 per stock code

Nominee Services

Compulsory share buyback through CCASS	HK\$2,000 per trade + a. Cash amount of compulsory share buyback b. CCASS handling charge c. Interest
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Capital Investment Entrant Scheme

Annual Return Fee	HK\$5,000 annually
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Asset Management

Management Fee	1.5% per annum (negotiable)
Annual Performance Fee	10% of the increase in net asset value (negotiable)

註1: 客人可沽出即日存入之股票, 款項需於存入股票日起最多 14 個工
作天後才可提取

註2: 碎股亦視作一個買賣單位計算, 股票價值以上日收市計算
漢豐資產管理有限公司對上述收費保留一切決定權如有任何收費之修
改, 將不會另行通知

#1: Deposited physical stock can be sold on the same day, but cash can only be withdrawn
after maximum 14 working days

#2: Odd lots are charged as board lots

M&F Asset Management Limited reserves the right to amend the above charges without
prior notice.

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服務收費

企業行動

行使現金結算認股權證 贖回上市票據及債券	豁免
存倉股份合併或分拆	豁免
中央結算截止過戶費	每手 HK\$1.50
代收現金股息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$50, 最高收費 HK\$20,000)
以股代息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$50, 最高收費 HK\$20,000)
代收紅股/ 供股權/ 認股權證或其他權益	每宗交易 HK\$50
經中央結算行使供股權/ 認股權證/認購配售證券或 其他權益	每宗交易 HK\$500 另加每手 HK\$0.80 註2
申請額外供股	每宗交易 HK\$500 另加每手 HK\$0.80 註2
經中央結算行使私有化回購	每宗交易金額之 0.05% 另加每手 HK\$0.80 註2 (每宗交易最低 HK\$100)
新股認購	認購新股(電子)HK\$100 認購新股(孖展) HK\$200 另加保證金 利息 (華僑永亨銀行最優惠利率 + 3%)

其他服務收費

現金帳戶借欠利息	華僑永亨銀行最優惠利率 + 5%
保證金帳戶借欠利息	華僑永亨銀行最優惠利率 + 3%
客戶支票退票	每宗退票手續費 HK\$500 另加短欠之利息
串流報價服務	每月 HK\$400
銀行電子過帳系統	每次 HK\$300
代客追收未領取之股息/ 紅股/ 供認股權證/ 或其他權益	每宗 HK\$2,000 另加中央結算收費
索取月結單副本費用	豁免(最近三個月), 超過三個月前每頁收費 HK\$50
索取其他文件副本費用	每頁 HK\$100
代辦過戶處轉名手續費	每手 HK\$2.50 另加每一單股票 HK\$200 手續費
資產證明信函	每封信 HK\$200
代辦股票買賣書	最後收市價 0.1%~0.25% (最低港幣 500 元)每邊,另加政府印花稅(如有)
停止付款	每次 HK\$300
代辦申請替代轉讓書	每份轉讓書 HK\$1,000

Service Charges

Corporate Actions

Exercise of warrants, redemption of listed notes & bond	Waived
Custody of share consolidation/ share splitting	Waived
Scrip fee	HK\$1.50 per board lot or its equivalent
Cash/ scrip dividend collection	0.5% of each cash amount of dividend (min HK\$50, max HK\$20,000 per transaction)
Scrip dividend	0.5% of each cash amount of dividend (min HK\$50, max HK\$20,000 per transaction)
Collection of bonus issue, right issue, stock warrants	HK\$ 50 per transaction
Exercise of right subscription/ stock warrants/ securities placement subscription/ cash offer	HK\$500 per transaction+ HK\$0.80per lot #2
Excess rights application	HK\$500 per transaction+ HK\$0.80per lot #2
Exercise of privatization	0.05% per transaction+ HK\$0.80 per lot #2 (min HK\$100 per transaction)
IPO	HK\$100 per application (Electronic) HK\$200 per application (Margin)+ Margin financing interest (OCBC Wing Hang prime rate + 3%)

Other Services

Overdue settlement interest (cash A/C)	OCBC Wing Hang prime rate + 5%
Margin financing interest (margin A/C)	OCBC Wing Hang prime rate + 3%
Client's return cheque charge	HK\$500 per cheque+ Interest charge
Streaming Quote Services	HK\$400 monthly
Fund transfer by CHATS	HK\$300 per transaction
Claim unclaimed dividends/ bonus share/ stock warrants/ other interests	HK\$2,000 + CCASS handling charge
Photocopying of Statement	Waived (Within last 3 months), HK\$50 per page more than last 3months
Photocopying of documents	HK\$100 per cycle or per copy
Stock Registration fee	HK\$2.50per board lot and HK\$200 handling charge for each stock
Letter of Confirmation on Asset	HK\$200 per letter
Share transfer by Bought & Sold note	0.1%~0.25% of previous market value(min equivalent HK\$500) per side+ stamp duty(if any)
Stop Payment Charge	HK\$300 per transaction
Issuance of replacement transfer deed	HK\$1,000 per transfer deed

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